#### IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

| 3  |  |                         |    |
|----|--|-------------------------|----|
| 4  | UNITED STATES OF AMERICA                               | )                       |    |
| 5  | Plaintiff,   | ) Case No: 4:19-cv-1418 |    |
| 6  |  | )                       |    |
| 7  | V.   | )<br>)                  |    |
| 8  |  | )                       |    |
| 9  | MICHAEL D. KING, and ASCENT AVIATION SOLUTIONS, L.L.C. | ) ANSWER TO COMPLAIN    | NT |
| 10 |  | )                       |    |
| 11 |  | )                       |    |
| 12 | Defendants.  | )                       |    |
| 13 |  | )                       |    |
| 14 |  |                         |    |

Defendants MICHAEL D. KING, AND ASCENT AVIATION SOLUTIONS, L.L.C. now file their Answer to the Complaint and would respectfully show this Court as follows:

1. Defendants admit Paragraph 1 of the Complaint.

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- 2. Defendants admit Paragraph 2 of the Complaint..
- 3. Defendants admit Paragraph 3 of the Complaint...
- 4. Defendants admit Paragraph 4 of the Complaint.
- 5. Defendants admit Paragraph 5 of the Complaint..
- 6. Defendants admit Paragraph 6 of the Complaint.
- 7. Defendants deny Paragraph 7 of the Complaint.
- 8. Defendants admit Paragraph 8 of the Complaint.
- 9. Defendants admit Paragraph 9 of the Complaint.
- 10. Defendants admit Paragraph 10 Complaint.

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- 11. Defendants admit Paragraph 11 of the Complaint.
- 12. Defendants deny Paragraph 12 of the Complaint as wife Karen is also a Member of Ascent Aviation Solutions, LLC.
- 13. Defendants admit Paragraph 13 of the Complaint at the time of the allegations.
- 14. Defendants admit Paragraph 14 of the Complaint.
- 15. Defendants admit Paragraph 15 of the Complaint.
- 16. Defendants admit Paragraph 16 of the Complaint.
- 17. Defendants admit Paragraph 17 of the Complaint.
- 18. Defendants deny Paragraph 18 (a through n) of the Complaint.
- 19. Defendants cannot admit or deny Paragraph 19 of the Complaint since King was not the Pilot in Command of those flights as alleged in the Complaint.
- 20. Defendants deny Paragraph 20 of the Complaint except for payments received pursuant to the Lease Agreement as alleged in the Complaint.
- 21. Defendants deny Paragraph 21 of the Complaint since Lessee maintained complete operational control over the aircraft mentioned in this Complaint.
- 22. Defendants deny Paragraph 22 of the Complaint since Lessee maintained complete operational control over the aircraft mentioned in this Complaint.
- 23.Defendants deny Paragraph 23 of the Complaint as Defendants did not contract the pilots for any of the alleged flights nor had operational control over the aircraft mentioned in this Complaint.
- 24. Defendants deny Paragraph 24 of the Complaint since Lessee contracted the pilots for all of the alleged flights.
- 25. Defendants deny Paragraph 25 of the Complaint.
- 26. Defendants deny Paragraph 26 of the Complaint.
- 27. Defendants deny Paragraph 27 (a-d) of the Complaint.
- 28. Defendants deny Paragraph 28 (a-e) of the Complaint.

- 29. Defendants deny Paragraph 29 (a-e) of the Complaint. However, defendant King was current as a Part 135 Captain, contracted by Defendant Ascent Aviation Solutions, LLC to TapJets.
- 30. Defendants deny Paragraph 30 of the Complaint.
- 31. Defendants deny Paragraph 31 of the Complaint.
- 32. Defendants deny Paragraph 32 of the Complaint.
- 33. Defendants deny Paragraph 33 (a-q) of the Complaint. Defendants were not in violation of any of the C.F.R.s so alleged.
- 34. Defendants deny Paragraph 34 of the Complaint. Defendants did not violate any of the C.F.R.s as so alleged.

### FIRST AFFIRMATIVE DEFENSE

(Failure to State a Claim)

AS AND FOR A FIRST, SEPARATE AND AFFIRMATIVE DEFENSE to said Complaint these answering Defendants allege that the Complaint and the causes of action therein fails to state a claim upon which relief can be granted.

## **SECOND AFFIRMATIVE DEFENSE**

(Fault or Negligence)

AS AND FOR A SECOND, SEPARATE AND AFFIRMATIVE DEFENSE to said Complaint these answering Defendants allege that 3<sup>rd</sup> parties (and those affiliated with 3<sup>rd</sup> parties) were totally at fault or negligent in and about the matters referred to in the Complaint and that such fault or negligence and carelessness on the part of these Third Parties amounted to One Hundred Percent (100%) of the fault or negligence involved in this case which caused the violations alleged.

### THIRD AFFIRMATIVE DEFENSE

(Laches)

AS AND FOR A THIRD SEPARATE AND AFFIRMATIVE DEFENSE to said Complaint, these answering defendants allege that the Complaint was unreasonably delayed in bringing this action against Defendants and that such delay substantially prejudiced these Defendants. Therefore, this action is barred by laches.

# FOURTH AFFIRMATIVE DEFENSE

(Valid Dry Lease Agreement)

AS AND FOR A FOURTH, SEPARATE AND AFFIRMATIVE DEFENSE to said Complaint, these answering defendants maintains that Aircraft N98Q was under a valid Dry Lease Agreement to the Third Party named in the Complaint.

## FIFTH AFFIRMATIVE DEFENSE

(Operational Control)

The Defendants did not have Operational Control of the aircraft for any of the flights alleged in the Complaint consistent with NTSB caselaw and Opinions of the FAA General Counsel.

## SIXTH AFFIRMATIVE DEFENSE

(Reservation to Raise Additional Affirmative Defenses)

AS AND FOR A SIXTH, SEPARATE AND AFFIRMATIVE DEFENSE to said Complaint, these answering defendants reserve the right to assert other affirmative defenses as discovery progresses.

WHEREFORE, PREMISES CONSIDERED, defendants pray for judgment as follows:

### 1 **CERTIFICATE OF SERVICE** 2 I hereby certify that I electronically filed the foregoing on this 18th day of June 2019, 3 with the Clerk of the Court by using the CM/ECF system, which will send a notice of 4 electronic filing to the following: 5 6 7 United States Attorney Michelle Zingaro, AUSA 8 1000 Louisiana, Suite 2300 Houston, Texas 77002 10 (713) 567-9512 11 12 Michelle.Zingaro@usdoj.gov 13 June 18, 2019 14 /s/ Edward A. Rose, Jr. 15 16 Edward A. Rose, Jr., Attorney at Law, PC Edward A. Rose, Jr., Esq. 17 Attorney-in-Charge 18 State of Texas Bar No. 24081127 19 Southern District of Texas Bar No. 1645585 20 3027 Marina Bay Drive Suite 208 League City, Texas 77573 21 Phone: 713-581-6029 22 Fax: 832-201-9960 23 edrose@edroseattorneycpa.com 24 Attorney for Defendants Michael D. King and 25 Ascent Aviation Solutions, L.L.C. 26 27 28